

CONFERENCE RECORD

# SEVENTH ASILOMAR CONFERENCE ON CIRCUITS, SYSTEMS, AND COMPUTERS

EDITED BY

Sydney R. Parker  
Naval Postgraduate School  
Monterey, California

PAPERS PRESENTED

TUESDAY THROUGH THURSDAY                      NOVEMBER 27-29, 1973  
ASILOMAR HOTEL & CONFERENCE GROUNDS — PACIFIC GROVE, CALIFORNIA

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Publicity: S.G. Chan, Naval Postgraduate School

621.381506  
A832  
1973

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PATTERN MATCHING FOR STRUCTURED PROGRAMMING  
IN PL/I

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Abstract

A programming module for adding a simple string pattern-matching capability to PL/I is presented. It is argued that pattern-matching is compatible with control structures that conform to the principles of structured programming [1].

1. AN OVERVIEW OF P/PL/I

P/PL/I is an extension to PL/I that adds to this language a string pattern-matching capability. Patterns are provided as an additional data type. Three categories of patterns may be distinguished:

- lexical patterns—these correspond to "primitive" classes such as: letters, digits, blanks, special characters, integers, character string constants (between single quotes), identifiers;
- syntactical patterns—classes defined by concatenation and disjunction of other classes, variables, and constants;
- semantic patterns—classes defined by means of predicates, i.e. procedures returning '0'B or '1'B.

For lexical patterns the pattern-matching process is very simple (implemented essentially as a finite state automaton) [2]. The concept of semantic patterns appears in [3].

The definition of a pattern may involve the pattern concatenation (.), disjunction (|), assignment (underscore), and restriction (:) operators. Unless brackets are used concatenation has a higher priority than disjunction. Assignment has the same meaning as immediate assignment in SNOBOL 4, and restriction is used to introduce a predicate as an additional condition for membership in a given class.

Right recursion is supported in the definition of patterns as well as repetition factors. Some examples of patterns are given below:

<P> ::= 'A' | 'B' | 'C'

<Q> ::= ', ' . <# ID>  
<R> ::= 'D' | 'E' . <R>  
<S> ::= (3:\*) P  
<T> ::= <P>\_Z  
<U> ::= <T>\_'+'.Z  
<V> ::= <T> :: F(Z)

Clearly the notation resembles an extend BNF [4,2]. In the definition of <S> the repetition factor indicates 3 or more occurrences of <P>. If the lower bound were zero then <S> would also allow for the non-occurrence of <P>.

In the last two patterns note that:

- the "+" must be followed by exactly the same substring that precedes it; so "B+B" belongs to <U>, but "B + A" does not;
- <V> is the same as <T> restricted by (i.e., satisfying in addition the requirements of) the predicate F; in this example the value of Z that appears as the argument of F would have been determined when matching <T> (see the definition of <T>).

The pattern-matching and replacement process can now be described by:

A // <P> → W(X) / B

which reads: "if the contents of a string variable A satisfy the requirements of pattern <P> then report success and replace the contents of string variable B by the value of the PL/I string expression W(X)".

In fact this is what happens when the total mode is enabled; in the anchored and unanchored modes, defined as in SNOBOL 4 [5,6], if a sub-string of A

satisfies <P> then the match succeeds and the value of B becomes the value of A with the matching substring replaced by W(X). We have written W(X) to stress the fact that assignments may have occurred during the matching phase to string variables that may be present in the PL/I string expression.

The replacement action may be omitted. In this case we are only concerned with the success or failure of the match (and possibly assignments specified in the patterns).

A special variable #S is set to '0'B or '1'B depending on the outcome of the matching phase. Among the other special variables the cursor variables are particularly important. They indicate the position of the character in A that is under examination at a given moment in the matching phase.

## 2. SOME CHARACTERISTICS OF THE IMPLEMENTATION

The implementation uses the standard PL/I pre-processor to convert P/PL/I into valid PL/I. The two main statements are the pattern definition statement and the pattern-matching statement. The former is shown for the patterns <T> and <R> of the preceding section:

```
##PAT(<T>) ::= <P>_Z);
##PAT(##RECURS(<R> ::= 'D' | 'E' . <R>));
```

#PAT is declared as a pre-processor function, and so is #MAT below, which is used for the pattern-matching statement:

```
##MAT(M//<T> + ', ' || Z || ', ' //N);
```

The replacement part may be omitted:

```
##MAT(M // <T>);
```

In either case the cursor variable for this statement will be reset to 1 before the statement is executed. However by writing:

```
##MAT(M, ##// <T>);
```

the cursor variable will keep its previous value which will indicate the position of the first character in M to be examined. Also the user has explicit control over the process; if one writes:

```
##M @ = 5;
##MAT(M, ##//<T>);
```

the fifth character of M will be the starting point for the matching. The final value of the cursor variable is 1 plus the length of the string if the matching failed, or 1 plus the position of the last character in the string that matched the pattern if the matching succeeded.

The pre-processor translates the #MAT statement into a call to a sub-routine. A pattern-matching expression that returns '0'B or '1'B (besides setting #S), and can be used in any context where a bit-valued expression is expected, can be specified by using #MAT#. The pre-processor will

translate #MAT# into a function call.

In the present implementation all variables appearing in a pattern-matching statement must be declared in a #DCL statement.

Besides handling P/PL/I statements, the pre-processor program appends to the generated PL/I text a number of run-time routines that will perform the pattern-matching process. It also introduces a binary tree [7] where the structure of the patterns will be represented, and a number of auxiliary tables (for a graph representation of patterns see [8]).

As one could expect, if pattern <A> appears in the definition of pattern <B>, then the tree corresponding to <A> is a sub-tree of the tree representing <B>.

Edges in the tree represent either a concatenation, being traversed as long as the matching succeeds, or disjunction, being taken in case of failure. The matching algorithm takes care of backtracking and right recursion (left recursion is not supported in the present implementation).

The auxiliary tables supply additional information including address and length of string variables involved, bounds of the repetition factors, entry points of user-defined predicates, etc.

The pre-processor program and the run-time routines and tables constitute the P/PL/I module. The module runs in an IBM 370/165 under a supervisor. Other modules (for graph-processing [9], list processing, and additional control statements) are also implemented, and through the supervisor several modules can be appended to the same PL/I program.

A simple P/PL/I program is given below. A number of cards containing one or more PL/I-like statements are read in. The purpose of the program is to detect all 'simple arithmetic statements' that assign values to any variable included in a given list, and print out the whole program with generated comments indicating the variables to which such an assignment is being made. Here a simple arithmetic statement consists of a single scalar variable followed by an equal sign followed by an arithmetic expression containing only additions and subtractions of variables and integer constants; there are no blanks inside the statements.

```
Ex: PROC OPTIONS(MAIN);
DCL TAB(100) CHAR(31) VAR;
DCL V CHAR(31) VAR;
DCL (i,NT,NC) BIN FIXED;
##DCL (CARD CHAR(80));
##PAT (<SAS> ::= <VAR> . '=' . <SAEXP> . ';');
##PAT (<VAR> ::= <# ID> V:: INCL(V)>;
##PAT (<SAEXP> ::= <TERM> . <TRMS>);
##PAT (<TERM> ::= <# ID> | <# NB>);
##PAT (<TRMS> ::= (0:*) <TRMS1>);
##PAT (<TRMS1> ::= ('+' | '-'). <TERM>);
DCL INCL ENTRY(CHAR(*)VAR)RETURNS(BIT(1));
INCL: PROC(X) RETURNS(BIT(1));
DCL X CHAR(*) VAR;
DCL I BIN FIXED;
DO I = 1 TO NT;
IF TAB(I) = X
THEN RETURN('1'B);
END;
```

```

RETURN('0'B);
END INCL;
##UNANCHOR;
GET LIST(NT,(TAB(I) DO I = 1 TO NT));
GET LIST(NC);
DO I = 1 TO NC;
  GET SKIP EDIT(CARD)(A(80));
  #CARD @ = 1;
  DO WHILE(##MAT#(CARD,##//<SAS>));
  PUT SKIP LIST('/* ASSIGNMENT TO',
    V, '*');
  END;
  PUT SKIP LIST(CARD);
END;
END EX;

```

### 3. PATTERN-MATCHING AND STRUCTURED PROGRAMMING

Since one goal in the present implementation was to conform to the principles of structured programming [1] special care was devoted to the choice of control structures to be used in connection with pattern-matching.

The starting point is the realization that a pattern is a definition of a set of strings. In the simplest case a finite set is defined by enumerating its members (extensional definition); if string variables are involved the set may still be finite but will be time-varying; in the more complex cases possibly infinite sets are specified algorithmically (intensional definition). Now if  $x$  is a string and  $P$  a pattern, the pattern-matching process consists of checking whether  $x \in P$ , which in PL/I terms would be an operation returning a bit string value.

It has been shown [10] that the two constructs

- a. IF  $p$  THEN  $f_1$  ELSE  $f_2$ ;
- b. DO WHILE( $p$ );  $f$ ; END;

together with the simple sequential execution of statements are sufficient to describe any flow-chartable program. In both constructs pattern-matching expressions could occupy the place of  $p$  or be part of it (the variable  $\#S$  could also appear in the same context).

This should be contrasted to the success/failure exits used for example in SNOBOL, which are equivalent to GO TO's.

Side effects are allowed to occur if assignments within a pattern are specified or if a replacement action takes place. The latter is equivalent to:

```

IF  $p$  THEN perform replacement;
ELSE;

```

and the assignment can be described similarly. Note also that a text of the form:

```

DO WHILE (##MAT#( $\sigma//l_1 \rightarrow r_1//\sigma$ ) |
  ##MAT#( $\sigma//l_2 \rightarrow r_2//\sigma$ ) |
  ..... |
  ##MAT#( $\sigma//l_n \rightarrow r_n//\sigma$ ));
END;

```

represents a straightforward implementation of Markov algorithms [11]. If the pattern-matching succeeds for any of the  $n$  alternatives the corresponding replacement is executed and the first alternative is tried again; otherwise the next alternative is tried, unless the failing alternative is the  $n^{\text{th}}$  one in which case the DO group is exited (warning: this works only with the optimizing compiler).

The desirability of including Markov algorithms in programming languages is indicated in [12]. An even simpler scheme may be implemented by:

```

##S = '1'B;
DO WHILE(##S);
  ##MAT( $\sigma//l_1 \rightarrow r_1//\sigma$ );
  ##MAT( $\sigma//l_2 \rightarrow r_2//\sigma$ );
  .....
  ##MAT( $\sigma//l_n \rightarrow r_n//\sigma$ );
END;

```

Here the next sequential rule will be tried regardless of the success or failure at the current rule, and after the  $n^{\text{th}}$  rule has been tried the set of rules will be tried again starting from the first one if any of them has succeeded.

### 4. FURTHER WORK

We expect to profit from the comments of a number of users for extending the module in useful ways. Attempts will also be made to increase its efficiency.

An aspect to deserve special consideration is the inclusion of left-recursive patterns.

The supervisor shall also be modified in order to make the P/PL/I module and the FORMAC [13] pre-processor compatible, with a view to applications in symbolic mathematics.

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