

# Some Remarks on the size of Boolean Functions

Vaston Gonçalves da Costa  
vaston@inf.puc-rio.br

Eduardo Sany Laber  
laber@inf.puc-rio.br

Edward Hermann Haeusler  
hermann@inf.puc-rio.br

PUC-RioInf.MCC51/2004 December, 2004

**Abstract:** This report discusses some aspects regarding the size of boolean functions, their minterm and maxterm concepts and some graph properties associated to boolean functions and circuits.

**Keywords:** Combinatorial optimization, Boolean Functions, Lower Bound.

**Resumo:** Esta monografia discute alguns aspectos envolvendo o tamanho de funções booleanas, seus mintermos e maxtermos e algumas propriedades de grafos associados a funções booleanas e circuitos.

**Palavras-chave:** Otimização combinatória, Funções Booleanas, Cota inferior.

# 1 Introduction

This report discusses some aspects regarding the size of boolean functions, their minterm and maxterm concepts and some graph properties associated to boolean functions and circuits.

## 2 Basic Terminology

This section presents the basic concepts for the understanding of the whole work.

**Definition 2.1** Every function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is a boolean function.

$V(f)$  is used, to denote the set of variable of a boolean function  $f$  or simply  $V$ .

$|T|$  denotes the cardinality of set  $T$ .

**Definition 2.2** Let  $f$  be a boolean function with  $n$ -variables,  $V = \{x_1, \dots, x_n\}$ . A minimal set  $S \subseteq V$  is a minterm if and only if setting all variables in  $S$  to 1, forces the value of  $f$  to 1.

**Definition 2.3** Let  $f$  be a boolean function with  $n$ -variables,  $V = \{x_1, \dots, x_n\}$ . A minimal set  $S \subseteq V$  is a maxterm if, and only if, setting all variables in  $S$  to 0, forces the value of  $f$  to 0.

Let  $MIN(f)$  denotes the set of all minterms and  $MAX(f)$  the set of all maxterms of  $f$ .

**Theorem 2.1**  $\forall T \in MAX(f)$  and  $\forall S \in MIN(f) \Rightarrow T \cap S \neq \emptyset$ .

[Gur77] and [KLN<sup>+</sup>93] present theorem 2.2, which connect boolean function and And-Or trees.

To understand the theorem the following definitions are necessary:

**Definition 2.4** A boolean function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is monotone if  $\vec{X} \leq \vec{Y} \Rightarrow f(\vec{X}) \leq f(\vec{Y})$ .

**Definition 2.5 (And-Or Tree)** Let  $V$  be a finite set. An And-Or tree is a rooted tree whose leaves are labeled with members of  $V$ , and whose internal nodes are labeled with the Boolean operation And( $\wedge$ ), Or( $\vee$ ) each  $x \in V$  labels only one leaf of a And-Or tree.

**Theorem 2.2** A monotone Boolean function  $f$  that depends on all its variables has an And-Or tree representation, if and only if,

$$T \in MAX(f), S \in MIN(f) \Rightarrow |S \cap T| = 1.$$

By the theorem  $|k(f).l(f)| \geq n$ , then  $|k(f)|$  or  $|l(f)|$  must be larger than  $\sqrt{n}$ , where  $k(f)$  and  $l(f)$  are used to denote the size of the largest minterm and the largest maxterm of  $f$ , respectively.

### 3 Estimating on the lower bound of maxterms

The main result and some useful concepts are presented in this section.

**Definition 3.1** Let  $f$  be a boolean function,  $x \in V(f)$  and  $H_x = \{S \mid S \in \text{MIN}(f) \text{ e } x \in S\}$ .

The degree of  $x$ ,  $Q(x)$ , is

$$Q(x) = |H_x|.$$

**Lemma 3.1** Let  $Q(x)$  be the maximum of  $f$ .

If  $|S| \leq k, \forall S \in \text{MIN}(f)$ , then  $|T| \geq \left\lceil \frac{|\text{MIN}(f)|}{Q(x)} \right\rceil$ , for all  $T \in \text{MAX}(f)$ .

**Proof.**

By the theorem (2.1), each  $T \in \text{MAX}(f)$  has to intercept each  $S \in \text{MIN}(f)$ .

Assume that, for contradiction, there is  $T \in \text{MAX}(f)$  such that  $|T| < \left\lceil \frac{|\text{MIN}(f)|}{Q(x)} \right\rceil$ .

In this case, there is  $y \in V \cap T$  such that  $Q(y) \geq Q(x)$ .

However, by the assumption,  $Q(x)$  is maximum.

Thus, there is not  $T \in \text{MAX}(f)$  such that  $|T| < \left\lceil \frac{|\text{MIN}(f)|}{Q(x)} \right\rceil$ . ■

**Lemma 3.2** Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be such that  $\forall S \subset \text{MIN}(f) \Rightarrow |S| \leq k, k \in \mathbb{N}$ , where  $Q(x) = 1$  for all  $x \in V$ . Thus,  $\forall T \in \text{MAX}(f), |T| \geq |\text{MIN}(f)|$ .

**Proof.**

By the theorem 2.1 each  $T \in \text{MAX}(f)$  has to intercept  $S_i \in \text{MIN}(f)$  at least once.

Since  $Q(x) = 1$  for all  $x \in V$ ,  $T$  has to intercept each  $S \in \text{MIN}(f)$  at least once.

Therefore,  $|T| \geq |\text{MIN}(f)|$ . ■

**Theorem 3.3 (Principal)** Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be such that  $\forall S \subset \text{MIN}(f) \Rightarrow |S| \leq k, k \in \mathbb{N}$ . Thus,  $\exists T \in \text{MAX}(f)$  where  $|T| \geq |\text{MIN}(f)|^{\frac{1}{k}}$ .

**Proof.**

Assume that, there is  $x_1 \in V$  such that  $Q(x_1) > 1$ . Otherwise, by the lemma (3.2)  $|T| \geq |\text{MIN}(f)| \geq |\text{MIN}(f)|^{\frac{1}{k}}$  for all  $T \in \text{MAX}(f)$ .

Let  $x_1 \in V$  be such that  $Q(x_1) \geq Q(y) > 1 \forall y \in V$  and

$$H_1 = \{T \mid T \in \text{MIN}(f) \text{ e } x_1 \in T\}$$

By the lemma (3.1):

$$\forall T \in \text{MAX}(f) \Rightarrow |T| \geq \left\lceil \frac{|\text{MIN}(f)|}{Q(x_1)} \right\rceil \quad (1)$$

If  $Q(x_1) < |\text{MIN}(f)|^{\frac{k-1}{k}}$ , the theorem holds.

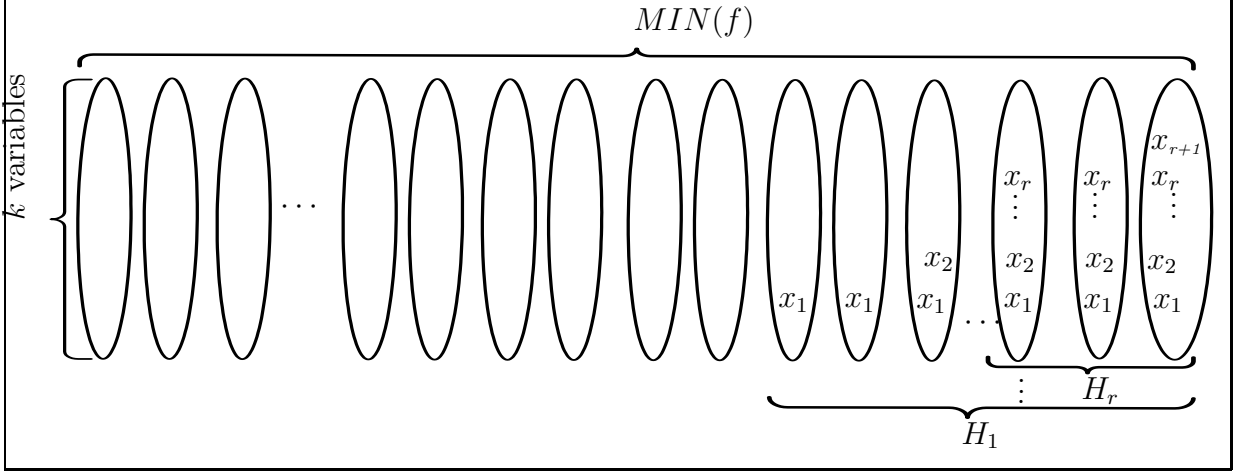


Figure 1: Representation of  $MIN(f)$

Otherwise, it is necessary to prove the theorem if  $Q(x_1) \geq |MIN(f)|^{\frac{k-1}{k}}$ .

Let  $x_2 \in H_1$ ,  $Q(x_2) > 1$  such that  $Q(x_2) \geq Q(y) \forall y \in V - x_1$ .

Clearly,  $Q(x_1) \geq Q(x_2)$ .

Define the set:

$$H_2 = \{T \mid T \in H_1 \text{ e } x_2 \in T\}$$

Thus,

$$\forall T \in MAX(f), T \cap \{x_1\} = \emptyset \Rightarrow |T| \geq \left\lceil \frac{Q(x_1)}{Q(x_2)} \right\rceil \quad (2)$$

For  $x_1$  may not be the only variable with  $Q$  maximum and  $x_2$  may be presented in other minterms of  $f$  that do not belong to  $H_1$ .

By (2), if  $Q(x_2) < |MIN(f)|^{\frac{k-2}{k}}$ , the theorem holds.

Otherwise, it is necessary to prove the theorem if  $Q(x_2) \geq |MIN(f)|^{\frac{k-2}{k}}$ .

Analogally,

For  $x_i \in H_{i-1}$ ,  $Q(x_i) > 1$  maximum.

$$\forall T \in MAX(f), T \cap \bigcup_{i=1}^r \{x_i\} = \emptyset \Rightarrow |T| \geq \left\lceil \frac{Q(x_r)}{Q(x_{r+1})} \right\rceil \quad (3)$$

Where  $Q(x_{r+1}) = 1$ ,  $Q(x_r) \geq |MIN(f)|^{\frac{k-r}{k}}$  and  $r + 1 \leq k$ . (See figure 2).

Note that,  $Q(x_r) = 1$  for some  $x_r \in H_{r-1}$ . Otherwise, two sets of  $MIN(f)$  must be equal.

Thus, the process of picking up  $x_i \in H_{i-1}$  will stop.

By the construction  $|T| \geq |MIN(f)|^{\frac{k-r}{k}} \geq |MIN(f)|^{\frac{1}{k}}$ .

■

## 4 Final remarks

If  $k=2$  in the theorem 3.3 we have the following application in graphs.

If we consider the set of minterms as pair of vertex  $(x, y)$  such that  $(x, y) \in V$  and de maxterms as a cover of  $G$ .

Let  $G$  be a simple graph, we give a bound relating the size of the largest minnimal cover of  $G$  and its number of variables. More specifically, we prove that if the largest minimal cover in  $G$  has  $t$  vertex then  $G$  has at most  $t^2 + t$  variables. Futhermore, we prove that this bound is tight.

**Definition 4.1 (External neighborhood)** *Let  $G(V,E)$  be a graph and let  $C \subseteq V$ . The external neighborhood of  $C$  is the set*

$$D(C) = \{y \mid y \in V - C \text{ and } (x, y) \in E \text{ for } x \in C\}$$

**Definition 4.2 (External degree)** *Let  $C$  be a cover of  $G(V,E)$  and let  $x \in C$ . The external degree of  $x$ ,  $d(x)$ , is the cardinality of  $D(\{x\})$ .*

**Definition 4.3 (Maximum External degree)** *Let  $G(V,E)$  be a simple graph,  $C \in V$  and  $x \in C$ . The external degree of  $x$  is maximum if, and only if,  $d(x) \geq d(y)$  for all  $y \in C$ .*

**Lemma 4.1** *Let  $G(V,E)$  be a simple graph and let  $C$  be a maximal cover of  $G$ . If  $x \in C$  is the vertex with maximum external degree,  $d(x) > 1$ , then, there is  $S \subseteq C - \{x\}$  such that:*

- $|S| \geq d - 1$  and
- $D(S) \in D(\{x\})$ .

**Proof.** *Let  $D(x) = \{y_1, \dots, y_d\}$ . As  $C$  is a cover of  $G$ , hence minimal,  $C - \{x\}$  can not be a cover of  $G$ .*

*Let  $S_1 = C - \{x\} \cup D(x)$ . Since  $C$  is a maximal cover of  $G$ ,  $S_1$  can not be a cover of  $G$ .*

*Indeed, at least  $d - 1$  vertex of  $C - \{x\}$  are edged to some vertex of  $D(\{x\})$ .*

*Let  $S$  be the set of vertex of  $C - \{x\}$  that are edged to some vertex of  $D(\{x\})$ .*

■

When considering most of the vertexes wiht maximal external degree we have that the size of  $G$  is at most  $d(x).(t - d(x) + 1)$

**Theorem 4.2** *Let  $G(V,E)$  be a simple graph and let  $C$  be a maximal cover of  $G$ . If  $|C| = t$  and  $x \in C$  is the vertex with maximal external degree, then  $|V| \leq d(x).(t - d(x) + 1)$ .*

If we take graphs with a even number of vertex we have this upper bound is exact.

As can be seen from the example below, (see figure 2), the upper bound is a tight one.

A maximal cover of  $G$ ,  $C$ , has  $|C| = 2t - 1$  end  $|V| = t^2 + t$ .

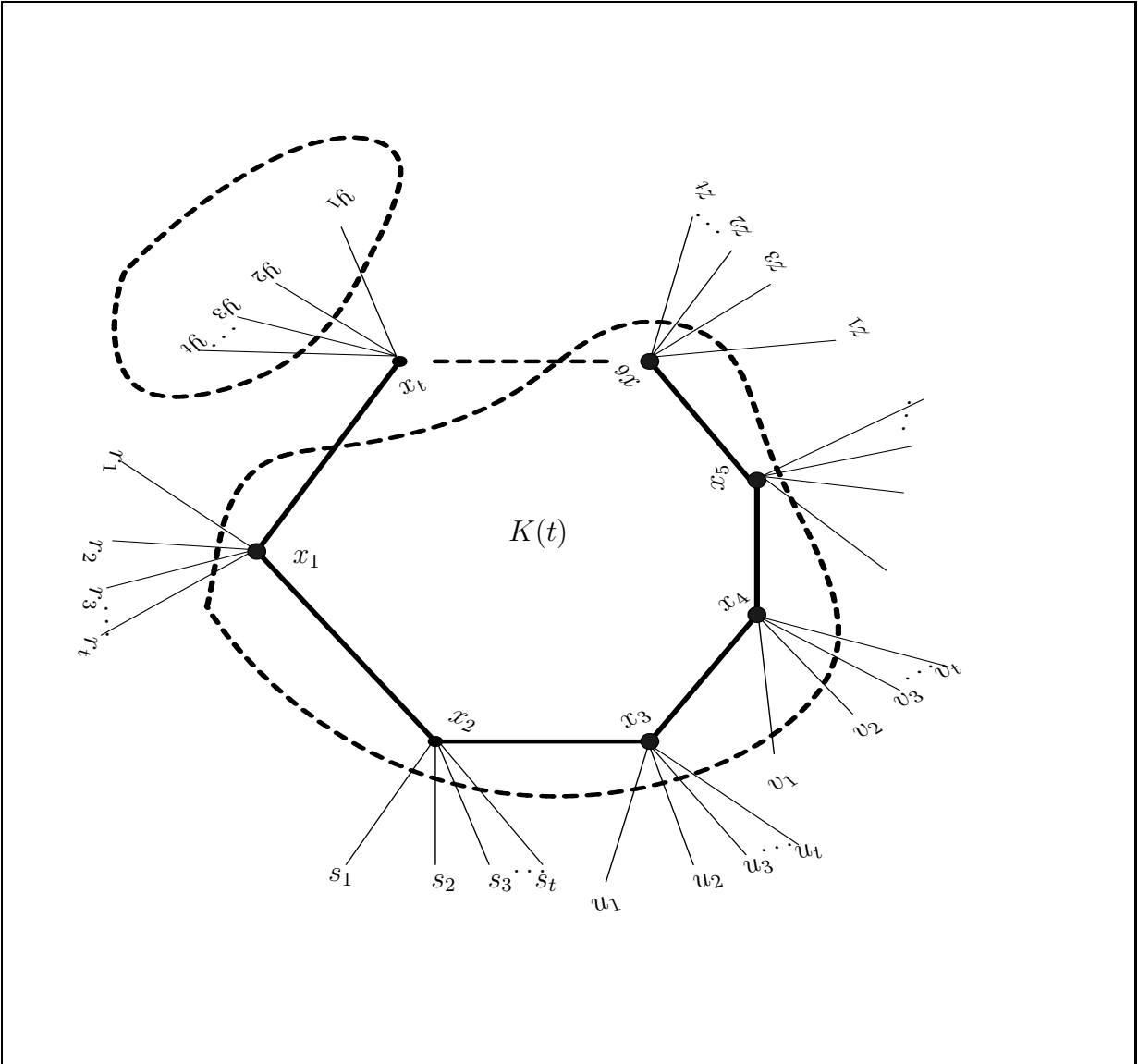


Figure 2:  $G(V, E)$  with  $|V| = d(x) \cdot (t - d(x) + 1)$

## References

- [CL03] Ferdinando Cicalese and Eduardo Sany Laber. A new strategy for querying priced information. 2003.
- [Gur77] V.A. Gurvich. On repetition-free boolean functions. *Uspekhi Matematicheskikh Nauk*, 32(1):183–184, 1977.
- [Juk01] Stasys Jukna. *Extremal Combinatorics - With Applications in Computer Science*. Springer, 2001.
- [KLN<sup>+</sup>93] M. Karchmer, N. Linial, I. Newman, M. Saks, and A. Wigderson. Combinatorial characterization of read-once formulae. *Discrete Math.*, 114(1-3):275–282, 1993.
- [Rud74] Sergiu Rudeanu. *Boolean Functions and Equations*. North-Holland, 1974.